

Implementation Update of the CAP-MR/DD Tiered Waivers

**Joint Legislative Oversight Committee on
Mental Health, Developmental Disabilities, & Substance Abuse
Services**

**Rose Burnette
DMH-DD-SAS
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Implementation Update of the CAP-MR/DD Tiered Waivers

Today's Presentation:

- ☐ Status of Current Waivers
- ☐ Self-Direction
- ☐ Summary of Planned Tiers
- ☐ Waiting List
- ☐ Residential Supports Level 5
- ☐ LME UR

Status of Current Waivers

Numbers of Individuals Served

- ☐ Comprehensive Waiver 9798
- ☐ Supports Waiver 853

Status of Current Waivers

Costs of Services

- ❑ **Comprehensive Waiver**-about \$493 million of waiver services and about \$112 million of other Medicaid services
- ❑ **Supports Waiver**-about \$2.5 million of waiver services and about \$2.5 million of other Medicaid services

Self-Direction-Supports Waiver

- ❑ Two required functions, the Financial Management Services Agency (FMS) and the Support Broker.
- ❑ The Support Broker function is called the Community Resource Consultant (CRC).
- ❑ DMH-DD-SAS has selected, through a RFA process, two vendors to provide the services of Community Resource Consultant (CRC).

Self-Direction-Supports Waiver

Community Resource Consultant (CRC)
vendors

- ☐ *The Arc of North Carolina and,*
- ☐ *Central State of the Carolinas*
- ☐ Both vendors have many years of experience providing services and supports to individuals who receive CAP-MR/DD funding.
- ☐ Through the application process both vendors demonstrated the knowledge and ability to be successful in the role of Community Resource Consultant.

Self-Direction-Supports Waiver

- ❑ In collaboration with DMA an RFP process was conducted to select 1 vendor to perform the functions of a Financial Management Service (FMS).
- ❑ The Financial Management Service (FMS)
 - serves as the ***employer of record*** for the individual staff selected by the participant;
 - administers payroll, taxes, and insurance for staff selected by the participant; and
 - exercises budget authority for the participant.

Self-Direction-Supports Waiver

- ❑ The vendor selected as the Financial Management Service is *G.T. Financial Services*.
- ❑ *G.T. Financial Services*
 - owned and operated by individuals who have over 10 years of experience as financial administrators
 - have been operating as a family business providing fiscal intermediary services
 - for individuals participating in self-direction in Wisconsin and Michigan
 - Have served over 3000 people with disabilities

Self-Direction-Supports Waiver

- ❑ DMH-DD-SAS and DMA are working with the selected vendors, LME, CAP-MR/DD Supports Waiver participants, and other stakeholders to implement Self-Direction.
- ❑ Implementing formal Marketing Plan
 - Inform individuals of the Self-Direction option and provide information to all stakeholders.
 - Formal recruitment of participants

Self-Direction-Supports Waiver

Estimated Annual Cost for 126 CAP-MR/DD Participants

- ☐ Costs reflect both the Community Resource Consultant and the Financial Management Service
- ☐ Costs are not included in the participants annual budget

Self-Direction-Supports Waiver

Estimated Annual cost for 126 CAP-MR/DD Participants

- ❑ Annual cost for Financial Management Service for 126 participants=\$58,560
- ❑ Annual cost for Community Resource Consultant for 126 participants=\$460,320
- ❑ Total cost = \$518,880
- ❑ About \$4000 per person

Self-Direction-Supports Waiver

Benefits to the system:

- ❑ Offers flexibility, choice and control as a trade off for limited resources
- ❑ Research indicates when families are involved in the development of a budget they tend to spend less than families who receive what's typically on the menu.
- ❑ Cash and Counseling evaluation completed by Kevin Mahoney, researcher, found that individual control yielded lower costs.

Self-Direction-Supports Waiver

Benefits to the system:

- ☐ Less turnover in staff because of self selection
- ☐ Strengthens and sustains natural support networks that will reduce paid supports
- ☐ Reduces movement into facility based services

CAP-MR/DD Waivers

Summary of Planned Tiers

The Overall Goal of the Tiered waivers:

To improve efficiency in the expenditure of available funds and effectively identify and meet the needs of individuals who are eligible for CAP-MR/DD funding.

CAP-MR/DD Waivers

Summary of Planned Tiers

Each waiver provides for the specifically defined needs of intended participants based on intensity of need, financial limits, and services/supports.

The development of the Tiered waivers system is intended to; 1) assure that individuals receive the services/supports they need; and 2) Services are authorized equitably based on the individual's intensity of need.

Three Targeted Waivers

- ☐ Minor revisions to the Supports Waiver
- ☐ Major revisions to the Comprehensive Waiver
- ☐ Create new Community Intensive Waiver

Three Targeted Waivers

- ❑ Supports Waiver-Financial Range-up to \$17,500 per year
- ❑ Comprehensive Waiver-Financial Range- \$17,501 to \$75,000
- ❑ Community Intensive-Financial Range-\$75,001-\$135,000 per year

Determination of Intensity of Need

Determination of intensity of need will be made by using information gathered through the use of the:

- NC-SNAP
- Supports Intensity Scale
- Other assessments
- Person Centered Planning process

Transition Into the New Waivers

Individuals in the Supports and Comprehensive waivers will transition into the revised and new waivers based on information gathered from the NC-SNAP, SIS and other assessment and support needs information contained in each Person Centered Plan.

Three Targeted Waivers

- ❑ Planning and development are underway
- ❑ Commitment to make as few changes as possible to reduce stress to the system
- ❑ Build in processes to support individuals during life transitions (early childhood to school, young adult and retirement)

CAP-MR/DD Waivers

Summary of Planned Tiers

Progress to Date

- ☐ Stakeholder Survey
- ☐ Planning for Stakeholder workgroup meetings
- ☐ Planning for statewide Stakeholder Forums

Projected Timeline for Implementation of the Tiered Waivers

Development of the waivers with submission to CMS will occur by July 31, 2011 allowing adequate time for CMS approval and transition to the new waivers on 11-1-11.

Waiting List

- ☐ Each LME shall maintain a waiting list for:
 - Residential services
 - CAP-MR/DD Waiver services
 - Other
- ☐ Develop rules regarding waiting list data
- ☐ LME(s) to report waiting list information annually to DHHS

Waiting List

Short Term Solution

- ❑ LME spreadsheet to collect aggregate data
- ❑ LME(s) provided data-
 - identified 8191 persons potentially eligible for CAP-MR/DD

Waiting List

☐ Long Term Solution

- Analyze effectiveness of spreadsheet effort
- Evaluate feasibility of web-based solution or other alternatives

Residential Supports Level 5

- ❑ Residential Supports Level 5 is a 24 hour (per diem) service for the individual who has ***extreme behavioral support*** needs that require 24 hours per day one-on-one direct contact and supervision.
- ❑ Services are expected to be provided with a ***one to one staffing ratio for 24 hours per day***
- ❑ Staff qualifications require staff have specialized experience and supervision

Residential Supports Level 5

- Authorization for Residential Supports Level 5 will be determined by a DMH-DD-SAS and DMA review committee:
 - clinical professionals with education and experience working with individuals with I/DD and related behavioral disorders, to include at a minimum;
 - consultation with professionals in the field of psychiatry, psychology, medicine, and pharmacy and other specialists as needed.

Residential Supports Level 5

- ☐ October-PAG review
- ☐ 45 day posting
- ☐ Review of public comments and revisions as needed
- ☐ Implementation-2011

CAP-MR/DD UTILIZATION REVIEW

Effective January 20, 2011, the current Utilization review vendor will no longer be responsible for the utilization review for CAP-MR/DD waiver services.

CAP-MR/DD UTILIZATION REVIEW

- ❑ The LME(s) have received information regarding the requirements and must respond by October 18.
- ❑ All LME responses will be reviewed and selection letters will be mailed to the LME(s) November 1, 2010.

CAP-MR/DD UTILIZATION REVIEW

- ❑ Training with the selected LME(s)-
December 6 through December 10, 2010.
- ❑ Transition Process-November 2010 through
Implementation
- ❑ The Selected LME(s) will train providers-
January 3 through January 14, 2011
- ❑ January 20, 2011, the selected LME(s) will
begin utilization review for CAP-MR/DD
waiver services

Implementation Update of the CAP-MR/DD Tiered Waivers

- ☐ Thank you for your attention!
- ☐ Questions???